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## FULBRIGHT & JAWORSKI L.L.P.

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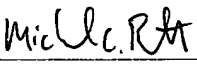
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5-11-02  
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February 18, 2002

FILE: KINS:005US

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RE: *SN 09/982,114 "METHODS AND APPARATUSES FOR PERFORMING SECURE TRANSACTIONS WITHOUT TRANSMITTING BIOMETRIC INFORMATION" - by Kinsella and Fisk*

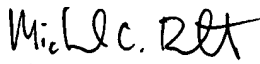
Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references (A1-A16 and C1-C5).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10104661/MCB.

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In re Application of:

David J. Kinsella and Richard W. Fisk

Group Art Unit: 2131

Serial No.: 09/982,114

Examiner: Unknown

Filed: October 17, 2001

Atty. Dkt. No.: KINS:005US/MCB

For: METHODS AND APPARATUSES FOR  
PERFORMING SECURE  
TRANSACTIONS WITHOUT  
TRANSMITTING BIOMETRIC  
INFORMATION

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Date	Michael C. Barrett

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10104661/MCB.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Michael C. Barrett

Reg. No. 44,523

Attorney for Applicants

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Austin, Texas 78701  
(512) 474-5201

Date: February 18, 2002

Form PTO-1449 (modified)

Atty. Docket No.  
KINS:005US/MCBSerial No.  
09/982,114

List of Patents and Publications for Applicant's

Applicant  
David J. Kinsella et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
October 17, 2001Group:  
2131U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 2

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,193,855	3/16/93	Shamos	283	117	4/30/90
	A2	5,202,929	3/13/93	Lemelson	382	2	11/6/84
	A3	5,229,764	7/20/93	Matchett <i>et al.</i>	340	825.34	6/20/91
	A4	5,337,358	8/9/94	Axelrod <i>et al.</i>	380	23	11/20/92
	A5	5,408,536	4/18/95	Lemelson	382	2	4/22/94
	A6	5,457,747	10/10/95	Drexler <i>et al.</i>	380	24	2/14/94
	A7	5,548,660	8/20/96	Lemelson	382	116	4/6/95
	A8	5,703,356	12/30/97	Bidiville <i>et al.</i>	250	221	4/19/95
	A9	5,719,950	2/17/98	Osten <i>et al.</i>	382	115	8/30/95
	A10	5,802,199	9/1/98	Pare, Jr. <i>et al.</i>	382	115	3/17/97
	A11	5,838,306	11/17/98	O'Connor	345	163	8/20/97
	A12	5,949,401	9/7/99	Kazarian	345	156	11/21/96
	A13	5,991,431	11/23/99	Borza <i>et al.</i>	382	127	2/12/97
	A14	5,995,014	11/30/99	Dimaria	340	825.31	10/30/97
	A15	6,028,950	2/22/00	Merjanian	382	126	2/10/99
	A16	6,101,404	8/8/00	Yoon <i>et al.</i>	600	310	5/23/97

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

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Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

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Atty. Docket No.  
KINS:005US/MCBSerial No.  
09/982,114Applicant  
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U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 2***Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C1	Costlow, "Fingerprint ID cards present a touchy situation," as it appears on the <i>EETIMES</i> web site at: <a href="http://www.eetimes.com/news/96/hr910.html">http://www.eetimes.com/news/96/hr910.html</a> (date of article, July 1996).
	C2	MacLellan, "Start-up Suni bets on integrated process," <i>Electronic News</i> , pg. 18, Jan. 20, 1997.
	C3	Miller, "Vital signs of identity," <i>IEEE Spectrum</i> , pp. 22-30, Feb. 1994.
	C4	"Microsoft to give mouse a new spin," <i>PC Week</i> , Jul.22, 1996.
	C5	"Object access via fingerprint recognition on telephone keys," <i>IBM Technical Disclosure Bulletin</i> , 36(09A):13-15, 1993.

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